12-12-01



PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Firk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/844,700 **Application Number** TRANSMITTAL 04/27/2001 **Filing Date FORM** Shah et al First Named Inventor 2171 (to be used for all correspondence after initial filing) Group Art Unit Not yet assigned **Examiner Name** 68110328.710 J Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a THE STATE OF Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Other Enclosure(s) (please Change of Correspondence Extension of Time Request identify below): Reply to Notice of Terminal Disclaimer **Express Abandonment Request** Incomplete Reply Request for Refund Information Disclosure Statement Postcard acknowledgement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Ĩ. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 j. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brian C. McCormack Individual name milamack Signature 11/12/2001 Date EL698811965US **CERTIFICATE OF MAILING** sufficient postage as ftesk RaseSS I hereby certify that this correspondence is being deposited with the United States Postal Service with mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/12/2001 Christi Pingleton Typed or printed name 11/12/2001 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Page 1 of 1

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/844,700

04/27/2001

Arun Shah

68110328.710

**CONFIRMATION NO. 9543** 

**FORMALITIES LETTER** 

OC000000006910553\*

Brian C. McCormack Baker & McKenzie 2001 Ross Avenue, Suite 2300 Dallas, TX 75201

Date Mailed: 10/15/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

- The U.S. Patent and Trademark Office has received your reply on 09/25/2001 to the Notice to File Missing Parts (Notice) mailed 06/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice. The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)). A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. The required item(s) identified below must be timely submitted to avoid abandonment: Substitute drawings in compliance with 37 CFR 1.84 because: i.i.
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

N.H

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## NOV 1 2 2001 INTERPRETED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shah et al

Group Art No.:

2171

Serial No.:

09/844,700

**Examiner:** 

Not Yet Assigned

Filed:

April 27, 2001

Atty. Docket No.:

68110328.710

For: Agg

Aggregate Navigation System

## REPLY TO NOTICE OF INCOMPLETE REPLY

888888

EXPRESS MAIL MAILING LABEL NO.: EL698811965US

DATE OF DEPOSIT: 1-12-0

I, Christi Pingleton, hereby certify that this correspondence is being deposited on the date shown above with sufficient postage with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Signature

Commissioner of Patents Washington, D.C. 20231

Attn: Initial Patent Examination Division

Dear Sir:

In response to the Notice of Incomplete Reply mailed October 15, 2001, Applicants respectfully state the following:

- 1. Applicants timely filed the Response to Notice to File Missing Parts of Application on September 25, 2001 enclosing formal drawings Figures 1-9.
- 2. Applicants' Response inadvertently omitted formal drawings Figures 10 and 11.
- 3. Applicants respectfully enclose formal drawings Figures 1-11.

The Notice to File Missing Parts of Application mailed June 25, 2001 required response by August 25, 2001. Applicants filed a Petition for Extension of Time under 37 CFR 1.136(a) requesting a one month extension of time along with the Response to Notice to File Missing Parts on September 25, 2001 but inadvertently omitted formal drawings Figures 10 and 11. Applicants made a *bona fide* attempt to provide a complete reply to the non-final Office action and to advance the application. Applicants respectfully request that a new time period for reply be submitted to supply the omission pursuant to 37 CFR 1.136(c). Applicants enclose formal drawings including Figures 10 and 11 inadvertently omitted.

Respectfully submitted,

Brian C. McCormack

Reg. No. 36,601

ATTORNEY FOR APPLICANTS

rian C. Milormack

Date: November 12, 2001 BAKER & MCKENZIE 2001 Ross Avenue, Suite 2300 Dallas, TX 75201 214/978-3000 214/978-3099 fax